Attorney Docket # 5367-141RCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Tony ALBRECHT et al.

Serial No.:

09/824,086

Filed: April 2, 2001

For:

Pumped, Optically

Surface-emitting

Semiconductor Laser Device and Method for the

Manufacture Thereof

I hereby certify that this correspondence is being

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Group Art: 2828

Examiner: LEUNG, Quyen Phan

addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 13, 2005 (Date of Deposit)

Thomas Langer t, assignee or Registered Representative Name of applicant

> La Signature

January 13, 2005 Date of Signature

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed form PTO/SB/08A. Copies of the listed documents are also enclosed.

This information is being submitted after issuance of a Final Office Action on the merits.

STATEMENT

Each item of information contained in the Information Disclosure Statement was first cited in a communication received from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

No item of information contained in the Information Disclosure Statement was

cited in a communication received from a foreign Patent Office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable

inquiry, no item of information contained in the Information Disclosure Statement was known to

any individual designated in §1.56(c) more than three months prior to the filing of the Information

Disclosure Statement.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information

Disclosure Statement should not be construed as a representation that a search has been made or

that information cited is, or is considered to be, material to patentability as defined in §1.56(b),

or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

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Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

A check in the amount of \$180.00 in payment of the appropriate fee is enclosed.

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed form PTO/SB/08A be returned indicating that such

information has been considered.

Respectfully submitted,

COHEN, PONTANI, LIEBERMAN & PAVANE

By:

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Dated: January 13, 2005

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JAN 1 8 2005 Substitute for Form 1449/PTO Complete if Known Application Number 09/824,086 INFORMATION DISCLOSURE Filing Date April 2, 2001 First Named Inventor Tony ALBRECHT STATEMENT BY APPLICANT Art Unit 2828 (Use as many sheets as necessary) Examiner Name LEUNG, Quyen Phan Attorney Docket Number 5367-141RCE Sheet of

Examiner Initials*	Cite No.	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Mark Kuznetsov et al., "Design and Characteristics of High-Power	
		(>0.5-WCW) Diode-Pumped Vertical-External-Cavity Surface-Emitting	
		Semiconductor Lasers with Circular TEM ₀₀ Beams", IEEE Journal of	
		Selected Topics in Quantum Electronics, Vol. 5, No. 3, pp. 561-573,	
		May/June 1999	
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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.